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FEE TRANSMITTAL

for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 375.00)

Complete if Known

Application Number	NA
Filing Date	07/14/2003
First Named Inventor	Caryn Paige Rafferty
Examiner Name	NA
Art Unit	NA
Attorney Docket No.	P1384

10/619658
07/14/03

METHOD OF PAYMENT (check all that apply)

 Check Credit card Money Order Other None
 Deposit Account:

Deposit Account Number	50-0534
Deposit Account Name	Mark A Boys

The Commissioner is authorized to: (check all that apply)

 Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) during the pendency of this application
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
1001 750	2001 375	Utility filing fee	375.00
1002 330	2002 165	Design filing fee	
1003 520	2003 260	Plant filing fee	
1004 750	2004 375	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1)		(\$)	375.00

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

	Extra Claims	Fee from below	Fee Paid
Total Claims	10	-20** = 0 x 9 = 0.00	0.00
Independent Claims	2	- 3** = 0 x 42 = 0.00	0.00
Multiple Dependent			0.00

Large Entity	Small Entity	Fee Description
Fee Code (\$)	Fee Code (\$)	
1202 18	2202 9	Claims in excess of 20
1201 84	2201 42	Independent claims in excess of 3
1203 280	2203 140	Multiple dependent claim, if not paid
1204 84	2204 42	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)		(\$ 0

**or number previously paid, if greater; For Reissues, see above

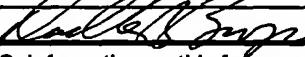
3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code (\$)	Fee Description	Fee Paid
		1051 130	2051 65 Surcharge - late filing fee or oath	
		1052 50	2052 25 Surcharge - late provisional filing fee or cover sheet	
		1053 130	1053 130 Non-English specification	
		1812 2,520	1812 2,520 For filing a request for ex parte reexamination	
		1804 920*	1804 920* Requesting publication of SIR prior to Examiner action	
		1805 1,840*	1805 1,840* Requesting publication of SIR after Examiner action	
		1251 110	2251 55 Extension for reply within first month	
		1252 410	2252 205 Extension for reply within second month	
		1253 930	2253 465 Extension for reply within third month	
		1254 1,450	2254 725 Extension for reply within fourth month	
		1255 1,970	2255 985 Extension for reply within fifth month	
		1401 320	2401 160 Notice of Appeal	
		1402 320	2402 160 Filing a brief in support of an appeal	
		1403 280	2403 140 Request for oral hearing	
		1451 1,510	1451 1,510 Petition to institute a public use proceeding	
		1452 110	2452 55 Petition to revive - unavoidable	
		1453 1,300	2453 650 Petition to revive - unintentional	
		1501 1,300	2501 650 Utility issue fee (or reissue)	
		1502 470	2502 235 Design issue fee	
		1503 630	2503 315 Plant issue fee	
		1460 130	1460 130 Petitions to the Commissioner	
		1807 50	1807 50 Processing fee under 37 CFR 1.17(q)	
		1806 180	1806 180 Submission of Information Disclosure Stmt	
		8021 40	8021 40 Recording each patent assignment per property (times number of properties)	
		1809 750	2809 375 Filing a submission after final rejection (37 CFR 1.129(a))	
		1810 750	2810 375 For each additional invention to be examined (37 CFR 1.129(b))	
		1801 750	2801 375 Request for Continued Examination (RCE)	
		1802 900	1802 900 Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0.00

SUBMITTED BY		(Complete if applicable)		
Name (Print/Type)	Donald R. Boys	Registration No. (Attorney/Agent)	35074	Telephone (831) 726-1457
Signature		Date	07/14/2003	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Please type a plus sign (+) inside this box →

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	P1384
First Inventor	Caryn Paige Rafferty
Title	Portable CD-Player with Built-In CD Storage Mechanism
Express Mail Label No.	EV352381532US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)

2. Applicant claims small entity status.
See 37 CFR 1.27.

3. Specification [Total Pages 14]
(preferred arrangement set forth below)

- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. Drawing(s) (35 U.S.C. 113) [Total Sheets 4]

5. Oath or Declaration [Total Pages 2]
 a. Newly executed (original or copy)
 b. Copy from a prior application (37 CFR 1.63 (d))
 (for continuation/divisional with Box 18 completed)

- i. **DELETION OF INVENTOR(S)**
 Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6. Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

- a. Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
- c. Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & document(s))

10. 37 CFR 3.73(b) Statement Power of Attorney (when there is an assignee)

11. English Translation Document (if applicable)

12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations

13. Preliminary Amendment

14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)

15. Certified Copy of Priority Document(s) (if foreign priority is claimed)

16. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.

17. Other: Check for fees

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-In-part (CIP) of prior application No. _____

Prior application information: Examiner _____ Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label				<input type="checkbox"/> Correspondence address below
Name	24739			
PATENT TRADEMARK OFFICE				
Address				
City	State		Zip Code	
Country	Telephone		Fax	
Name (Print/Type)	Donald R. Boys		Registration No. (Attorney/Agent)	35,074
Signature			Date	07/14/2003

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Certificate of Express Mailing

"Express Mail" Mailing Label Number: **EV352381532US**

Date of Deposit: **07/14/2003**

Ref: Case Docket No.: **P1384**

First Named Inventor: **Caryn Paige Rafferty**

Serial Number: **NA**

Filing Date: **07/14/2003**

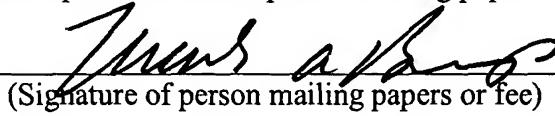
Title of Case: **Portable CD-Player with Built-In CD Storage Mechanism**

I hereby certify that the attached papers are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and addressed to the Commissioner of Patents and Trademarks, Washington D.C. 20231

1. Utility patent application transmittal.
2. 14 sheets of specification.
3. 4 sheets of drawings.
4. Letter to the Examiner.
5. Fee transmittal.
6. Duplicate fee transmittal.
7. Information Disclosure Document.
8. Declaration and Power of Attorney.
9. Check for fees in the amount of \$375.00.
10. Certificate of express mailing.
11. Postcard listing contents.

Mark A. Boys

(Typed or printed name of person mailing paper or fee)



(Signature of person mailing papers or fee)